

Financial Institutions

Our attorneys are experts in assisting banks and bank holding companies, insurance companies, casinos, non-bank mortgage lending and servicing companies, other financial services companies, and senior executives on a full range of legal, regulatory, and compliance issues. These include:

- Bank Secrecy Act/Anti-Money Laundering Enforcement and Compliance;
- Economic and Trade Sanctions;
- Consumer Financial Protection;
- Fair Lending and other mortgage fraud;
- Data Security;
- Internal Investigations;
- International Matters for Foreign-Based and U.S. Clients;
- RMBS and other securities fraud investigations and litigation;
- Mobile Payments;
- Chapter 11 bankruptcy examinations;
- Monitorships;
- Financial Accounting Fraud;
- Insider Trading; and
- Foreign Corrupt Practices Act

We practice before the DOJ, SEC, FINRA, CFTC, FinCEN, OFAC, CFPB, FDIC, OCC, Federal Reserve, FTC, SIGTARP, state banking agencies, federal inspectors general, and state attorneys general. Our attorneys, consisting of former senior-level federal prosecutors, a federal public defender, JAG officer, federal Independent Counsel, Associate Counsel for the Whitewater Investigation, and the Associate Dean at Georgetown University Law Center (and author on "Federal White Collar Crime," West, 5th Ed., 2012), were at the forefront of DOJ's recent use of FIRREA to conduct financial fraud investigations, including BSA/AML violations, complex RMBS fraud, and other securities fraud matters, and its expansion of fair lending enforcement.

Our attorneys are experts at developing creative solutions to complex problems. We begin each case with lean and efficient staffing in order to maximize the benefits for our clients. We have the ability to add resources economically and quickly when necessary. **Stein Mitchell Beato & Missner LLP** employs a team of in-house investigators, including former state and federal law enforcement officers, who assist in the defense of our clients. From the beginning of each case we focus on core issues, work with our clients to resolve matters efficiently and effectively, and develop successful strategies in the event of a trial.

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Practice Contact

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Professionals

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